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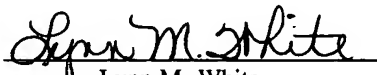
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: David G. Kuehr-McLaren et al.
APPLICATION NO. 10/706,464 Art Unit 3629
FILED: November 12, 2003
CASE: RSW920010117US1

TITLE: METHOD, SYSTEM, AND COMPUTER PROGRAM
PRODUCT FOR FILTERING PARTICIPANTS IN
ELECTRONIC TRANSACTIONS USING PRIVACY
POLICIES

Certificate of Mailing

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, MAIL STOP AMENDMENT, P.O. Box 1450, Alexandria, VA 22313-1450 on 9-8-05.


Lynn M. White

Commissioner for Patents
MAIL STOP AMENDMENT
P.O. Box 1450
Alexandria, VA 22313-1450

Information Disclosure Statement
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Form PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the non-U.S. patent publications listed on the attached Form PTO-1449 are submitted herewith.

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Docket No. RSW920010117US1

Application No. 10/706,464

It is respectfully requested that the Examiner initial Forms PTO-1449 and return a copy of same upon consideration of these publications.

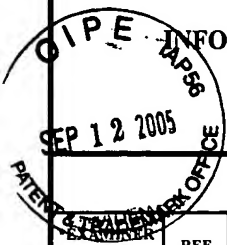
Since this Information Disclosure Statement is being filed prior to a first Office Action on the merits, no fee or certification is required.

Respectfully submitted,

Date 9/8/05



Mark D. Simpson
Reg. No. 32,942
Synnestvedt & Lechner LLP
2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950
(215) 923-4466



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

RSW920010117US1

Application Number

10/706,464

Applicant(s)

D. Kuehr-McLaren et al.

Filing Date

11/12/2003

Group Art Unit

3629

U.S. PATENT DOCUMENTS

| INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------|-----|-----------------|------------|---------------|-------|----------|-------------------------------|
| | AA | 5,745,574 | 04/28/1998 | Muftic | 380 | 23 | |
| | AB | 5,987,440 | 11/16/1999 | O'Neil et al. | 705 | 44 | |
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U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AC | WO 00/28452 | 05/18/2000 | PCT | G06F | 17/60 | ✓ | |
| | AD | WO 99/66428 | 12/23/1999 | PCT | G06F | 17/30 | ✓ | |
| | AE | WO 99/52242 | 10/14/1999 | PCT | H04L | 9/32 | ✓ | |
| | AF | WO 00/29973 | 05/25/2000 | PCT | H06F | 17/00 | ✓ | |
| | AG | EP 0605106A1 | 07/06/1994 | EPO | G06F | 1/00 | ✓ | |

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AH | Swindells & Henderson, "Legal regulation of electronic commerce," Journal of Information Law & Technology, No. 3 (1998) [INSPEC ABSTRACT ONLY] |
| | AI | Gerhard & Rohm, "A secure electronic market for anonymous transferable emission permits," Proceedings of the 31st Hawaii Intl. Conference on System Sciences (Cat. No. 98TB100216), Pt. vol. 4, pp. 232-241, Los Alamitos, CA (1998) [INSPEC ABSTRACT ONLY] |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.